



Attorney Docket No.: 05652.P013XCD

RECEIPT
#10/CFR
7/10/03
Hayes
COPY FILE Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Mark W. Miles, et al.)
Application No.: 10/082,397) Art Unit: 2873
Filed: February 25, 2002)
For: VISIBLE SPECTRUM MODULATOR)
ARRAYS)
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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10 2000 MAIL ROOM

REQUEST TO CORRECT AN ERROR IN THE FILING RECEIPT

Sir:

Applicants respectfully note that the Assignment For Published Patent Application erroneously lists Etalon, Inc., a MA corporation. The name should be Iridigm Display Corporation, a Delaware corporation.

Therefore, correction with respect to the above listed in the Filing Receipt of the present application is respectfully requested. Enclosed is a copy of the Filing Receipt marked with the appropriate corrections and a copy of the Notice of Recordation of Assignment Document.

Certificate of First Class Mail

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

5-13-03

(Date of Deposit)

Dawn R. Shaw

(Typed or printed name of person mailing correspondence)

Dawn R. Shaw
Signature

5-13-03
Date

Please correct your records to reflect the above information and then forward to us a corrected filing receipt.

If you have any questions, please contact the undersigned.

Respectfully submitted,

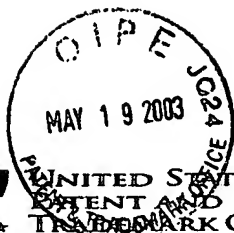
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Dated: 5/12/, 2003



James H. Salter
Reg. No.: 35,668

Customer No. 26529
12400 Wilshire Boulevard
Seventh Floor
Los Angeles, CA 90025-1030
(408) 720-8300



JHS
Iridigm Display Corp.

Commissioner for Patents
Washington, DC 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/082,397	08/06/2002	2873	561	5652P013XCD	37	12	6

CONFIRMATION NO. 6992

James H. Salter
Blakely, Sokoloff, Taylor & Zafman LLP
12400 Wilshire Boulevard, Seventh Floor
Los Angeles, CA 90025

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UPDATED FILING RECEIPT



OC000000009294324

DEC 30 2002

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
LOS ANGELES

Date Mailed: 12/26/2002

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Mark W. Miles, San Francisco, CA;

Assignment For Published Patent Application

~~Etalon, Inc., a MA corporation;~~ Iridigm Display Corporation
a Delaware corporation

ENTERED
JAN 06 2002

Domestic Priority data as claimed by applicant

This application is a DIV of 09/378,143 08/20/1999 ABN
which is a CON of 08/744,253 11/05/1996 PAT 5,986,796
which is a CON of PCT/US95/05358 05/01/1995

STATUS DB-LA

Foreign Applications

If Required, Foreign Filing License Granted: 05/30/2002

Projected Publication Date: 04/03/2003

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

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Visible spectrum modulator arrays

Preliminary Class

359

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

05,552.G000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Merger Assignment Document recorded:

05/21/2002

Assigned Reel/Frame No.: 012721/0123

U.S. Patent And Trademark Office
Assignment Division
Box Assignments
CG-4
1213 Jefferson Davis Highway, Suite 320
Washington, D.C. 20231

Examiner, Assignment Division: Jeevon Jones

REQUEST FOR CORRECTION

Dear Examiner Jones:

In the Notice of Recordation of Assignment Document for the above-referenced Merger Assignment Document the Assignee's name was recorded incorrectly as follows:

Iridigin Display Corporation

The correct spelling is as follows:

Iridigm Display Corporation

Please have the Notice of Recordation of Assignment Document changed to reflect the correct spelling of the Assignee's name. Enclosed is a copy of the Notice of Recordation of Assignment Document and with the correction noted. Also enclosed is a New Assignment Cover Sheet.

Respectfully submitted,
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 6/12/, 2002

James H. Salter
Reg. No. 35,668

Customer No. 008791
12400 Wilshire Boulevard
Seventh Floor
Los Angeles, California 90025
(408)720-8300

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on June 12, 2002
Date of Deposit
Clare Walters
Name of Person Mailing Correspondence
Clare Walters 6/12/2002
Signature Date



STATE OF DELAWARE
SECRETARY OF STATE
DIVISION OF CORPORATIONS
FILED 09:00 AM 10/31/2000
001550173 - 2930958

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JUN 12 2000
10:2000 MAIL ROOM

CERTIFICATE OF MERGER

OF

ETALON, INC.,
a Massachusetts corporation

INTO

IRIDIGM DISPLAY CORPORATION,
a Delaware corporation

Under Section 252(c) of the Delaware General Corporation Law, Iridigm Display Corporation, a Delaware corporation, hereby certifies that:

FIRST: The name and state of incorporation of each of the constituent corporations to the merger are (1) Etalon, Inc., a Massachusetts corporation, and (2) Iridigm Display Corporation, a Delaware corporation.

SECOND: An agreement and plan of merger (the "Agreement and Plan of Merger") providing for the merger of Etalon, Inc., a Massachusetts corporation (the "Disappearing Corporation"), into Iridigm Display Corporation, a Delaware corporation (the "Surviving Corporation"), has been duly approved, adopted, certified, executed and acknowledged in accordance with Section 252(c) of the Delaware General Corporation Law.

THIRD: The full name of the Surviving Corporation is Iridigm Display Corporation.

FOURTH: The certificate of incorporation of Iridigm Display Corporation, a Delaware corporation, shall be the certificate of incorporation of the Surviving Corporation.


FIFTH: The Agreement and Plan of Merger as executed is on file at the principal place of business of the Surviving Corporation at:

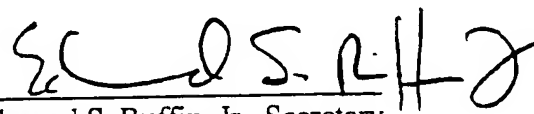
2565 Third Street, Suite 205
San Francisco, CA 94107

SIXTH: A copy of the Agreement and Plan of Merger will be furnished by the Surviving Corporation, on request and without cost, to any stockholder of any constituent corporation.

SEVENTH: The aggregate number of shares of stock which the Disappearing Corporation has the authority to issue is 100 shares, all of which shares have no par value and are designated common stock.

Iridigm Display Corporation, a Delaware corporation, has caused this Certificate to be signed in Menlo Park, California, by Erik J. Larson, its President and Edmund S. Ruffin, Jr., its Secretary, who affirm under penalties of perjury that the facts stated in this Certificate are true this October 21, 2000.

By: 
Erik J. Larson, President

By: 
Edmund S. Ruffin, Jr., Secretary

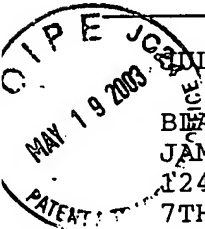


UNITED STATES
PATENT AND
TRADEMARK OFFICE

Copy to Iridigm 7/3=02
6:0

CORRECTED
NOTICE

05052 P013xcd
JHS



JULY 08, 2002

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JUL 15 2002

Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP
JAMES H. SALTER
12400 WILSHIRE BLVD.
7TH FLOOR
LOS ANGELES, CA 90025-1026

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
LOS ANGELES

102127572A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF
THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS
AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER
REFERENCED BELOW.

ENTERED
JUL 17 2002
STATUS DB-LA

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE
INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA
PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD
FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY
CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.
PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE,
ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY,
SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 05/21/2002

REEL/FRAME: 012721/0123
NUMBER OF PAGES: 4

BRIEF: MERGER (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
ETALON, INC.

DOC DATE: 10/31/2000

ASSIGNEE:
IRIDIGM DISPLAY CORPORATION, A
CORPORATION OF DELAWARE
2415 THIRD STREET, SUITE 235
SAN FRANCISCO, CALIFORNIA 94107

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SERIAL NUMBER: 08032711
PATENT NUMBER:

FILING DATE: 03/17/1993
ISSUE DATE:

SERIAL NUMBER: 08554630
PATENT NUMBER:

FILING DATE: 11/06/1995
ISSUE DATE:

SERIAL NUMBER: 08769947
PATENT NUMBER:

FILING DATE: 12/19/1996
ISSUE DATE:

SERIAL NUMBER: 09056975
PATENT NUMBER:

FILING DATE: 04/08/1998
ISSUE DATE:

ENTERED
JHS DB

SERIAL NUMBER: 09378143
PATENT NUMBER:

FILING DATE: 08/20/1999
ISSUE DATE:

SERIAL NUMBER: 09413222
PATENT NUMBER:

FILING DATE: 10/05/1999
ISSUE DATE:

SERIAL NUMBER: 09966843
PATENT NUMBER:

FILING DATE: 09/28/2001
ISSUE DATE:

SERIAL NUMBER: 09974544
PATENT NUMBER:

FILING DATE: 10/10/2001
ISSUE DATE:

SERIAL NUMBER: 09991378
PATENT NUMBER:

FILING DATE: 11/21/2001
ISSUE DATE:

SERIAL NUMBER: 10012092
PATENT NUMBER:

FILING DATE: 11/13/2001
ISSUE DATE:

SERIAL NUMBER: 10076224
PATENT NUMBER:

FILING DATE: 02/13/2002
ISSUE DATE:

SERIAL NUMBER: 10078282
PATENT NUMBER:

FILING DATE: 02/19/2002
ISSUE DATE:

SERIAL NUMBER: 10082397
PATENT NUMBER:

FILING DATE: 02/25/2002
ISSUE DATE:

SERIAL NUMBER: 08238750
PATENT NUMBER: 5835255

FILING DATE: 05/05/1994
ISSUE DATE: 11/10/1998

SERIAL NUMBER: 08744253
PATENT NUMBER: 5986796

FILING DATE: 11/05/1996
ISSUE DATE: 11/16/1999

SERIAL NUMBER: 08688710
PATENT NUMBER: 6040937

FILING DATE: 07/31/1996
ISSUE DATE: 03/21/2000

SERIAL NUMBER: 09238340
PATENT NUMBER: 6055090

FILING DATE: 01/27/1999
ISSUE DATE: 04/25/2000

DOROTHY RILEY, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

FORM PTO-1619A
OMB 0651-0027

06-24-2002



102127572

U.S. Department of Commerce
Patent and Trademark Office

PATENT

MAY 19 2003

RECORDATION FORM COVER SHEET
PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- ☒ New
☐ Resubmission (Non-Recordation)

Document ID # _____

- ☐ Correction of PTO Error

Reel # _____ Frame # _____

- ☐ Corrective Document

Reel # _____ Frame # _____

Conveyance Type

- ☐ Assignment ☐ Security Agreement
☐ License ☐ Change of Name
☒ Certificate Of Merger ☐ Other _____

U.S. Government

(For Use ONLY by U.S. Government Agencies)

☐ Departmental File ☐ Secret File

Attorney Docket No: 05652.G000

Conveying Party (ies)

☐ Mark if additional names of conveying parties attachedExecution Date
Month Day Year

Name (1st party) Etalon, Inc.

10 31 2000

Name (2nd party) _____

Name (3rd party) _____

Name (4th party) _____

Receiving Party

☐ Mark if additional names of receiving parties attached

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 1) Iridigm Display Corporation

Name (line 2) a corporation of Delaware

Address (line 1) 2415 Third Street

Address (line 2) Suite 235

Address (line 3) San Francisco

CA

94107

City

State/Country

Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

(Complete only if receiving party is not domiciled in the United States)

Name _____

Address (line 1) _____

Address (line 2) _____

Address (line 3) _____

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Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

FORM PTO-1619B
OMB 0651-0027

Page 2

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Correspondent Name and Address

Area Code and Telephone Number 408 720-8300

Name James H. Salter

Address (line 1) Blakely Sokoloff Taylor & Zafman LLP

Address (line 2) 12400 Wilshire Boulevard

Address (line 3) Seventh Floor

Address (line 4) Los Angeles CA 90025-1026

Pages

Enter the total number of pages of the attached conveyance document.

2

Application Number (s) or Patent Number (s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number (s)

Patent Number (s)

08032711	09056975	09413222
08554630	09238340	09966843
08769947	09378143	09974544

09991378	10078282	5986796
10012092	10082397	6040937
10076224	5835255	6055090

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT	PCT	PCT
PCT	PCT	PCT

Number of Properties

Enter the total number of properties involved.

18

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41):

\$ 720.00

Method of Payment:
Deposit Account

Enclosed ☐

Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: # 02-2666

Authorization to charge additional fees:

Yes ☒ No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

James H. Salter

35,668

Name of Person Signing

Registration No.

Signature

Date

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Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231